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U.S. HOUSE OF REPRESENTATIVES

**UNITED STATES HOUSE OF REPRESENTATIVES  
FINANCIAL DISCLOSURE STATEMENT**

**FORM B**

For use by candidates and new employees

Period covered: January 1, 2012 - December 31, 2012

Name: Robert J. Dold, Jr.

Daytime Telephone:

(Office Use Only)

Filer Status	<input checked="" type="checkbox"/> Candidate for the House of Representatives	State: IL District: 10	Date of Election: 11/4/2014	Check if Amendment <input checked="" type="checkbox"/>	A \$200 penalty shall be assessed against any individual who files more than 30 days late.
	<input type="checkbox"/> New officer or employee	Employing Office:			

In all sections, please type or print clearly in blue or black ink.

**PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS**

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS**

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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Source (include date of receipt for honoraria)

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# SCHEDULE II - ASSETS AND "UNEARNED" INCOME

Name Robert J. Dold, Jr.

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BLOCK A Asset and/or Income Source		BLOCK B Value of Asset		BLOCK C Type of Income		BLOCK D Amount of Income	
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in unearned income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans), provide the value for each asset held in the account that exceeds the reporting threshold. For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and the city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from, a defined retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule II requirements, please refer to the instruction booklet.</p>		<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p>		<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>		<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. This column is for income derived from assets solely held by your spouse or dependent child.</p>	
SP, DC, JT	Asset and/or Income Source	A None	B Value of Asset	C Type of Income	D Amount of Income	Current Year	Preceding Year
	Simon Corp. Stock						
	Simon & Schuster						
	1st Bank of Pennsylvania, NY accounts						
	See attached schedule						

## Continuation Sheet (If needed)

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[illegible]

# **SCHEDULE III — LIABILITIES**

Name **Robert J. Dold, Jr.**

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Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. **Exclude:** Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred mo/year	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main Street, Dover, DE	\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Liability over \$1,000,000
JT	Charter One Bank	10/03	Mortgage on 414 Frontage Rd. Northfield, IL 60093				X							
	Sallie Mae	1999	Student Loan		X									
	Wunderlich Securities	2009	Loan		X									
SP	TSP	02/12	Loan		X									

# **SCHEDULE IV — POSITIONS**

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

**Exclude:** Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature.

Position	Name of Organization
Managing Member	Rose Pest Solutions, LLC d/b/a Imperial Pest Management
President	Rose Exterminator Company, Inc.
Managing Member	White Whale Consulting, LLC
Secretary	America's Infrastructure Alliance
Board Member	Boy Scouts of America, Northeast Illinois Council

# **SCHEDULE V – AGREEMENTS**

Name Robert J. Dold, Jr.

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Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
None		

# **SCHEDULE VI – COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

Report sources of such compensation received by you or your business affiliation for services provided directly by you during the two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any nonprofit organization if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. Government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule I.

Source (Name and Address)	Brief Description of Duties
Example: Doe Jones & Smith, Hometown, Homestate	Accounting services
Intel Corporation, Santa Clara, CA	Consulting Services

ROBERT J. DOLD, JR.  
FORM B  
SCHEDULE II - ASSETS AND "UNEARNED" INCOME

BLOCK A Asset and/or Income Source	BLOCK B Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	
			Current year 1/1/13 - 6/15/13	Preceding Year 2012
414 Frontage Road, Northfield, IL	\$500,001 - \$1,000,000	Rent	\$2,501 - \$5,000	\$5,001 - \$15,000
2711 Douglas Avenue, Racine Wisconsin	\$50,001 - \$100,000	Rent	\$1 - \$200	-
Kellogg stock (NYSE: K)	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Wintrust Financial Corporation stock (NasdaqGS: WFTC)	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
McDonalds Corp. stock (NYSE: MCD)	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Procter and Gamble stock (NYSE: PG)	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$2,501 - \$5,000
BP stock (NYSE: BP)	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
American International Group stock (NYSE: AIG)	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Cisco Systems Inc. stock (Nasdaq: CSCO)	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Dell Inc. stock (Nasdaq: DELL)	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
TD Ameritrade Holding Corp stock (Nasdaq: AMTD)	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Time Warner Cable stock (NYSE: TWX)	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Time Warner Inc. New stock (NYSE: TWX)	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
IRA - Entergy Aft Inc 4.72% Pfd stock (OTC BB: EGRK.OB)	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
IRA - Dodge & Cox International Fund (Nasdaq DODFX)	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
IRA - Fundamental Investors Class A (Nasdaq ANCFX)	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
IRA - Growth Fund of America Class C (Nasdaq: GFACX)	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
IRA - Keeley Small Cap Value Fund Class A (Nasdaq: KSCVX)	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

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			Current year 11/13 - 6/15/13	Preceding Year 2012
Rose Edeminator Company, Inc. 401(k) plan - Principal Financial Group - Money Financial Services Stable Value Sig Fund	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
SIP Janus Orion Fund J Shares - Traditional IRA	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
SIP Ameritrade IRA Rollover - Insured Deposit Account	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
SIP Ameritrade IRA Rollover - Intel Corp. stock	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
All outstanding units of Rose Pest Solutions, LLC DBA Imperial Pest Management - a pest management company located in the Racine Wisconsin area.	\$100,001 - \$250,000	Net income from the activity	None	None
30% of all outstanding stock of Rose Edeminator Co., Inc. - a pest management company located in Northfield Illinois.	\$1,000,001 - \$5,000,000	Dividends	None	None
20% of the outstanding units of Fourstarhead Partners, LLC - a rental property located in Michigan City, Indiana.	\$15,001 - \$50,000	Rental Income	None	None
All outstanding units of White Whale Consulting, LLC - a general consulting company located in Northfield.	\$1,001 - \$15,000	Net income from the activity	\$50,000 - \$100,000	None